

Testing Library Components: Experience with Red-Black Trees

formalWARE Presentation

October 8, 1997

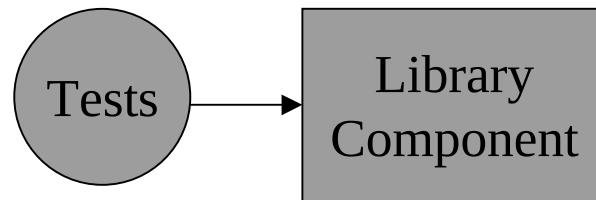
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Component Testing:

Types of Libraries: STL for C++,
Ada Library



- Specification Test (Black Box)
- Implementation-Based Test

Objective: This testing should be as thorough as possible in terms of detecting errors, but very efficient in terms of tester's time; use automated testing to the greatest extent possible

Implementation Based:

Given some information about the implementation, we want to take advantage of this in our testing.

Example: If container is known to be implemented as red-black tree, don't build the trees, but specify the inputs to test these structures.

Implementation-Dependent:

Given the exact method of implementation, base tests on this; less desirable, because implementation details could change, in this library or from one library to another.

		Implementation Dependent?	
		Y	N
Specification Based?	Y	Both	Spec. Based
	N	Impl. Based & Dep.	Impl. Based

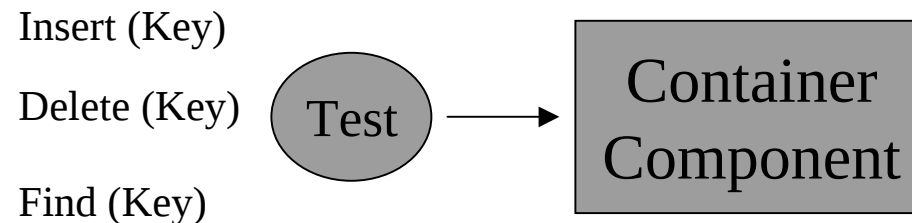
Look at case of Specification-Based and Implementation-Dependent: Ex: Tables of data of a certain kind will be used in solution, given as part of the specification.

Testing: Specification-Based vs Implementation-Based:
Detected by motivation.

Testing: Implementation-Dependent: Detected by
specification.

We wanted to do Implementation-Based Testing
for Containers:

Containers: Red-Black Trees
 Deques
 Doubly-Linked Lists
 Vectors (Arrays)

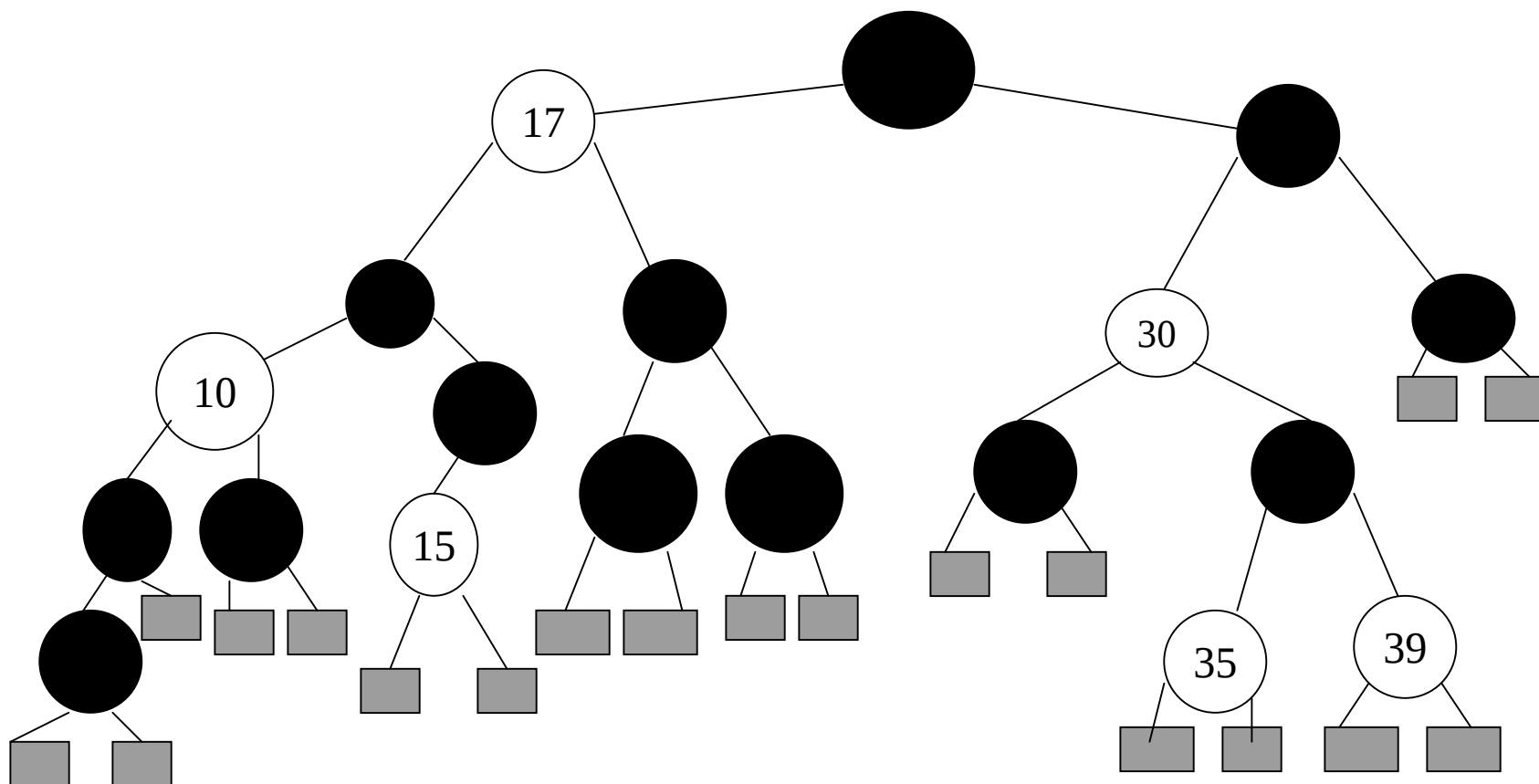


Problems of Observability and Controllability

A Red-Black Tree:

Is a Binary Search Tree (BST) in which every node has either the color red or black, and which satisfies:

- Every leaf (nil) is black.
- If a node is red, then both its children are black.
- Every simple path from a node to a descendant leaf contains the same number of black nodes.

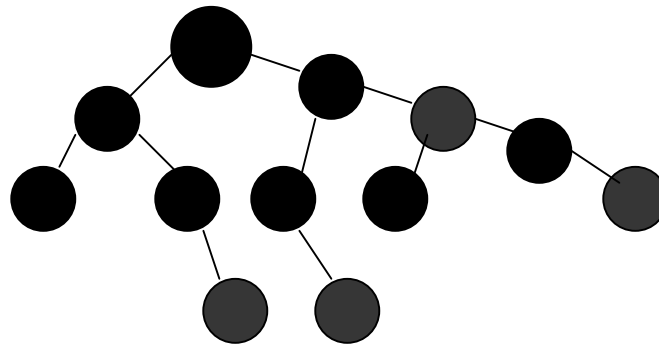


An AVL Tree (or Balanced BST) is a BST which satisfies the following condition:

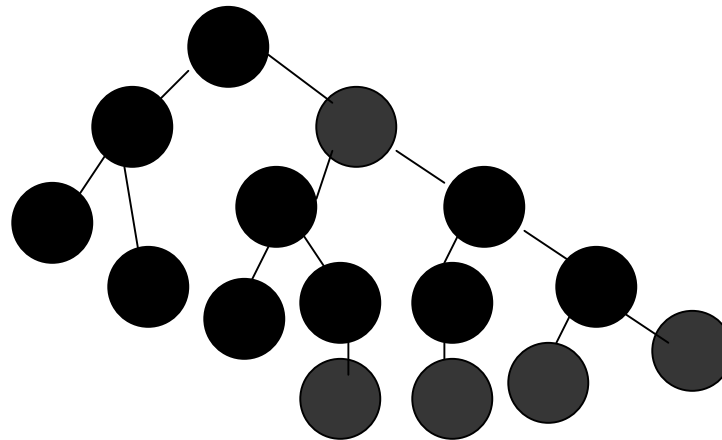
- *For every node in the BST, the maximum path lengths in the left subtree and right subtree differ by no more than one.*

Red-Black Trees vs AVL Trees

An AVL Tree can be colored as a Red-Black Tree (actually a Fibonacci Tree):



A Red-Black Tree which cannot be an AVL Tree:



Approach:

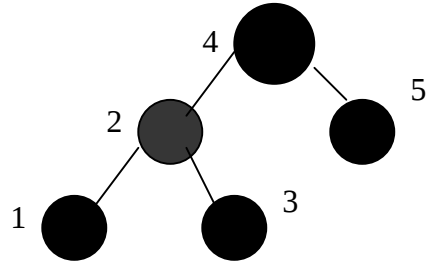
Implementation-Based Testing of Red-Black Trees:

- Construct all red-black trees automatically with $\leq N$ nodes, and then perform insert and delete operations on them.

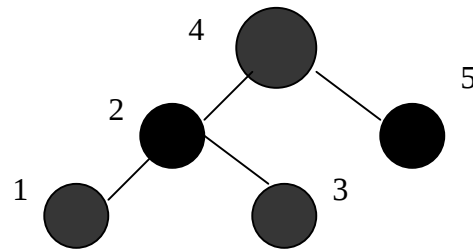
Generation Technique:

- Breadth-first generation of red-black trees.
- We quickly found that some red-black trees could not be generated in this way, even though their shape as BST's were as targeted.

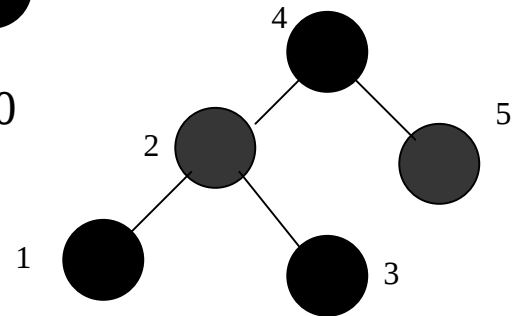
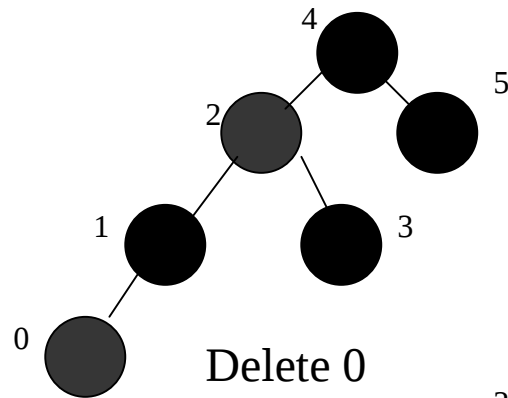
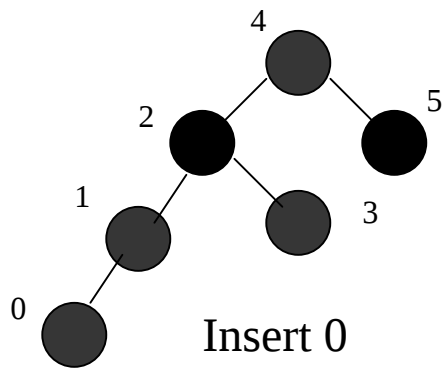
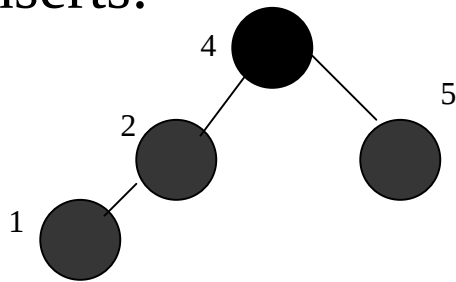
Initial RB-Tree



RB-Tree Obtained:

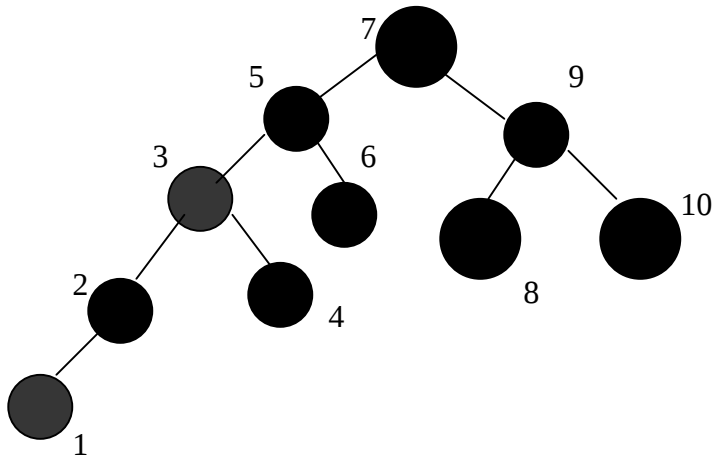


Inserts:



Desired RB-Tree

- The next objective as implementation-based testing was to generate all BST's using breadth-first generation.
- Breadth-first generation worked OK until N=10 node counter example.
- Could not generate this BST by breadth-first generation method.
- Could not generate it by **any** permutation of input keys.
- Could not generate it by inputs alone.



Counter example for $N=10$

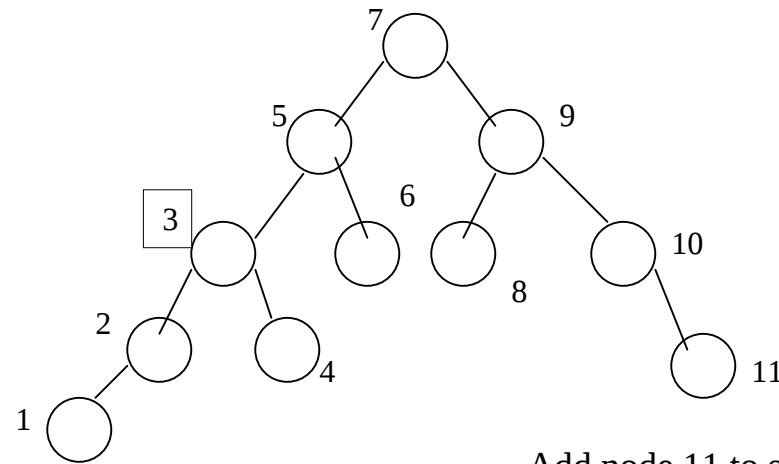
Why? Because adding node 1 will require rotations, which will change the shape of the BST.

Necessary and Sufficient Conditions for BST's given as red-black trees to be generated by the breadth-first method using the insert and delete algorithms of Cormen, Leiserson & Rivest:

For every subtree of the given BST, compute the height of the left subtree, HL, and of the right subtree, HR.

Then for every subtree, either

- 1) $|HL - HR| \leq 1$, or
- 2) $\text{Max}(HL, HR) = k$ (even) and $\text{Min}(HL, HR) = (k - 2)$.



BST Counterexample

Add node 11 to satisfy necessary and sufficient conditions at every node.

Now breadth-first algorithm can be used to generate this BST, and then node 11 can be deleted.

We are now working on the conditions to generate all red-black trees with N nodes.