

CPSC 421/501

Nov 20, 2024

- NP non-deterministic poly time

- SAT, 3SAT, 3COLOUR  $\in$  NP

- Define  $A \leq_{\text{poly time}} B$  formally.

Examples: 3COLOUR  $\leq_p$  SAT,

3COLOUR  $\leq_p$  3SAT,

3SAT  $\leq_p$  3COLOUR (homework)

- Cook-Levin Theorem: IF

$L \in$  NP, then  $L \leq_{\text{poly}} 3SAT$

DFA  $(Q, \Sigma, \delta, q_0, F)$

$$\delta: Q \times \Sigma \rightarrow Q$$

NFA  $\delta: Q \times \Sigma \rightarrow \text{Power}(Q)$

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Turing machine  $(Q, \Sigma, \Gamma, \delta, q_0, q_{acc}, q_{rej})$

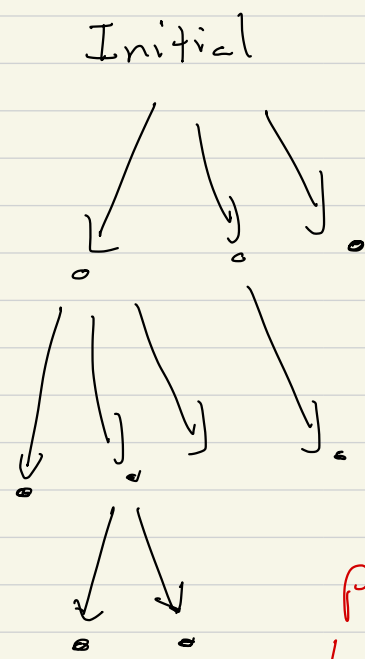
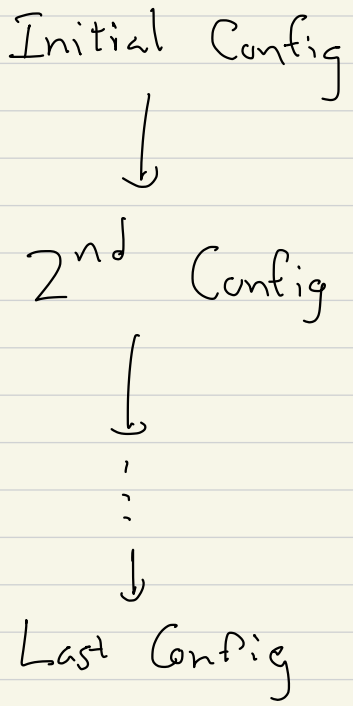
$$\delta: Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R\}$$

[Non-deterministic T.m.:

$$\delta: Q \times \Gamma \rightarrow \text{Power}(Q \times \Gamma \times \{L, R\})$$

Deterministic

Non-Deterministic



poly time  
 ↓  
 $C_n^k$   
 n = size  
 input

A non-deterministic machine  
accepts an input if there is  
at least one accepting path

non-det  
poly  
time

NP =

$L \mid$  there is a non-det  
T.m.,  $M$ , s.t.

① all computation paths

halt in time  $\leq Cn^k$

on all inputs of length  $n$

②  $\forall w \in \Sigma^*$

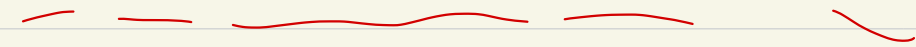
$w \in L \Leftrightarrow M$  accepts  $w$

e.g. SAT, 3SAT, 3COLOUR  $\in$  NP

Remark: In  $C_n^k$  time steps,  
there could be

$$| \mathbb{Q} \times \Gamma \times \{L, R\} |^{C_n^k}$$

possible paths ...



Remark: To see that  $SAT \in NP$

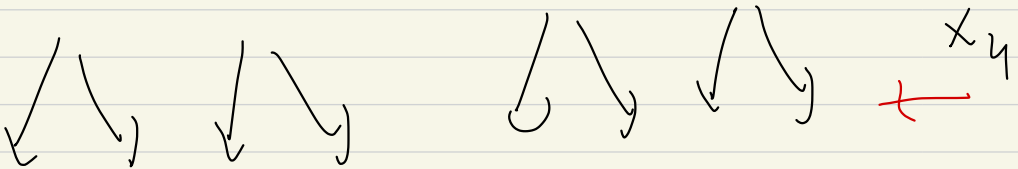
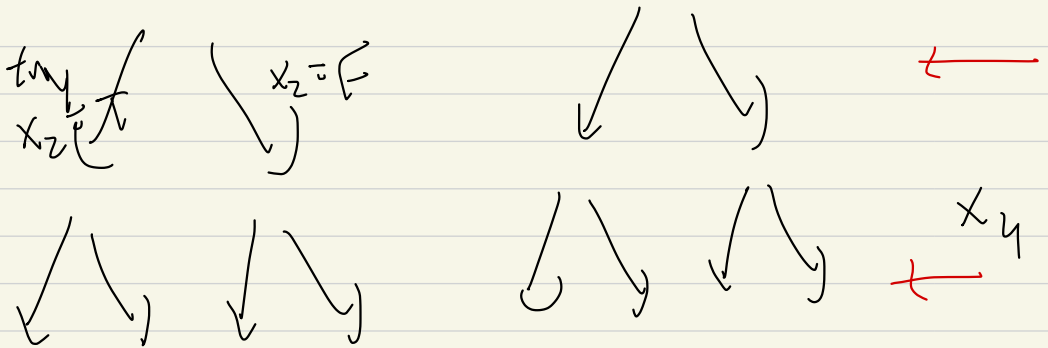
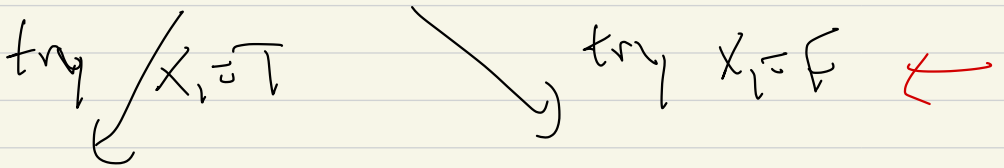
$$\mathcal{C} = (x_1 \vee x_2) \wedge \neg (\neg x_1 \vee x_4)$$

We can "try" all  $8 = 2^3$  settings

$$\text{of } x_1, x_2, x_4 \rightarrow \{F, T\}$$

Explain SAT  $\in$  NP, 3 COLOUR  $\in$  NP

$x_1 \dots x_2 \dots x_4$



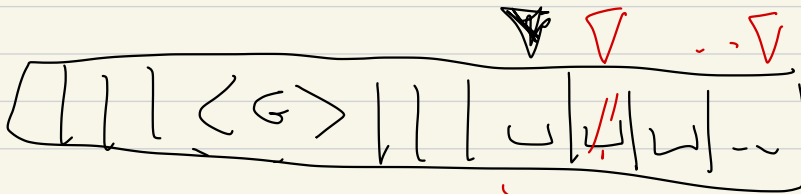
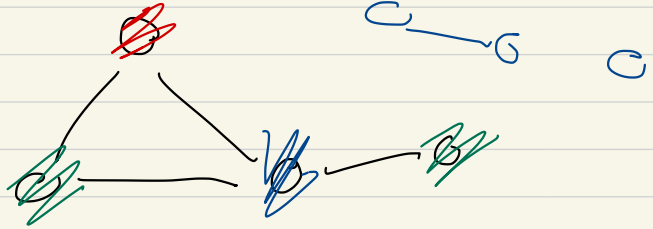
o o o o o o o o EXTRA  
combinations

$2^3 = 8$  "results"

If one of  $\dots$  results is accept, then we accept  $\varphi$

3 colour :

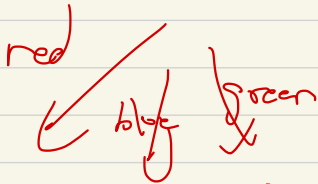
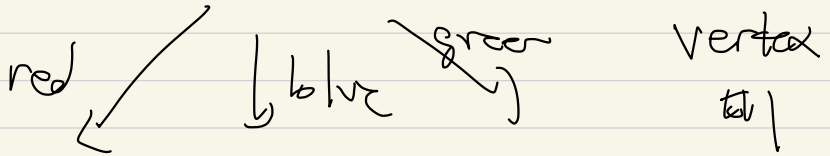
description



n vertices

red, green, blue

guess



accept



$$\Sigma, \Gamma = \Sigma \cup \{\text{red, green, blue}\} \dots$$

Formal Definition: If  $A \subset \Sigma_1^*$ ,

$B \subset \Sigma_2^*$ , we write

$$A \leq_p B \quad \text{or} \quad A \leq_{\substack{\text{poly} \\ \text{time}}} B$$

if there is  $f: \Sigma_1^* \rightarrow \Sigma_2^*$  s.t.

(1)  $f$  can be computed in poly time,

(2)  $\forall w \in \Sigma_1^*$

$$w \in A \iff f(w) \in B$$

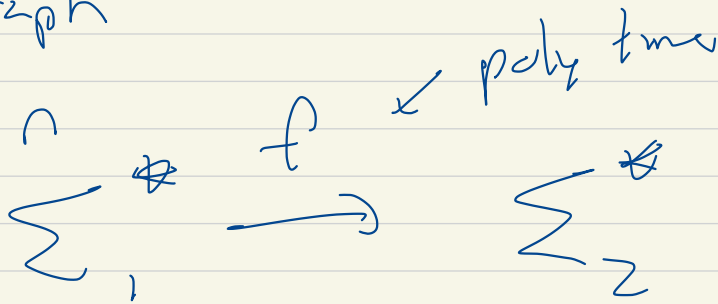
E.g.  $3\text{COLOUR} \leq_p \text{SAT}$ ,

$3\text{COLOUR} \leq 3\text{SAT}$ ,  $3\text{SAT} \leq 3\text{COLOUR}$



$$3\text{COLOUR} \leq_p 3\text{SAT}$$

graph



$$\langle G \rangle \in 3\text{COLOUR}$$

iff

$$\langle f(G) \rangle \in 3\text{SAT}.$$

Definition:  $B$  is NP-complete

if ①  $B \in NP$ ,

②  $\forall A \in NP, A \leq_{\text{poly time}} B$

Theorem: [Cook-Levin] If

$L \in NP$ , then  $L \leq_p SAT$ ;

therefore SAT is NP-complete.

Note: Our proof shows that

$L \leq_p 3SAT$ .

Lemma: Fix  $a_1, a_2, a_3, a_4 \in \{T, F\}$

$$a_1 \text{ or } a_2 \text{ or } a_3 \text{ or } a_4 = \neg$$

iff

$$\left. \begin{array}{l} (a_1 \text{ or } a_2 \text{ or } \neg) \text{ AND} \\ (\neg \neg \text{ or } a_3 \text{ or } a_4) \end{array} \right\} \text{tautology}$$

is satisfiable

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Midterm median 81.06%

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Corollary: 3COLOUR is

NP-complete.

we didn't  
mention

this on

Nov 20